

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Heather D. Boek  
Pushkar Tandon

Examiner: #2 *Shw*

Serial No:

Group Art Unit: 51302

Filed:

For: METHODS AND APPARATUS FOR  
FORMING A CHLORINE-DOPED  
OPTICAL WAVEGUIDE PREFORM



INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98

Asst. Commissioner of Patents and Trademarks  
Washington, DC 20231

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

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Date: 10/26/01

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Asst. Commissioner of Patents and Trademarks, Washington, D.C. 20231 on 10/26/01	
Date of Deposit	
Randall S. Wayland	
Name of applicant, assignee, or Registered Representative	
<i>Randall S. Wayland</i>	
Signature	
10/26/01	
Date of Signature	

FORM PTO-1449 (MODIFIED)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT	ATTORNEY DOCKET NO.	SERIAL NO.
	SP01-253	
	APPLICANT Boek et al.	
	FILING DATE	GROUP:

1036 U.S. PTO  
10/035535  
10/26/01

REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	AA	3,933,454	1/20/76	DeLuca	65	3	4/22/74
	AB	4,629,485	12/16/86	Berkey	65	3.11	9/26/83
	AC	4,923,496	5/8/90	Braglia	65	30.13	6/2/89
	AD	5,158,587	10/27/92	Kyoto et al.	65	3.12	7/8/91
	AE	5,242,476	9/7/93	Bartel et al.	65	3.11	9/5/92
	AF	5,790,736	8/4/98	Fabian	385	128	2/9/96
	AG	6,116,055	9/12/00	Ishikawa et al.	65	399	11/13/98
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub-Class	Translation Yes No
	AL	WO 00/42458	7/20/00	Japan	G02B	6/16	X
	AM	WO 01/27045	4/19/01	Japan	C03B	37/027	X
	AN						
	AO						
	AP						
	AQ						

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
	AR	W. Hermann et al., SOLUBILITY AND DIFFUSION OF CHLORINE IN SILICA GLASS, Ber. Bunsenges. Phys. Chem. 89, pp. 423-426 (1985)
	AS	
	AT	
	AU	
	AV	
	AW	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.